

09-27-01

PTO/SB/05 (08-00)

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jc962  
09/25/01  
U. S. PTO

# UTILITY PATENT APPLICATION TRANSMITTAL

(Only for new nonprovisional applications under 37 CFR 1.53(b))

Application Number

First Inventor

Richard N. ELLSON et al.

Title

High Density Molecular Arrays  
on Porous Surfaces

Attorney Docket Number

7610-0001.25

## APPLICATION ELEMENTS

See MPEP chapter 600 concerning utility patent application contents.

ADDRESS TO: Commissioner for Patents  
Box Patent Application  
Washington, DC 20231

- Fee Transmittal Form (e.g., PTO/SB/17)  
(Submit an original and a duplicate for fee processing)
- Applicant claims small entity status.  
See 37 CFR 1.27.
- Specification [Total Pages 62]  
(preferred arrangement set forth below)
  - Descriptive title of the invention
  - Cross Reference to Related Applications
  - Statement Regarding Fed sponsored R & D
  - Reference to sequence listing, a table,  
or a computer program listing appendix
  - Background of the Invention
  - Brief Summary of the Invention
- CD-ROM or CD-R in duplicate, large table or  
Computer Program (Appendix)
- Nucleotide and/or Amino Acid Sequence Submission  
(if applicable)
  - a.  Computer Readable Form (CRF)
  - b. Specification Sequence Listing on:
    - i.  CD-ROM or CD-R (2 copies); or
    - ii.  paper
  - c.  Statements verifying identity of above copies

## Brief Description of the Drawings (if filed)

- Detailed Description
- Claim(s)
- Abstract of the Disclosure
- Drawing(s) (35 U.S.C. 113) [Total Sheets 3]
- Oath or Declaration [Total Pages 3]
  - a.  Newly executed (original or copy)
  - b.  Copy from a prior application (37 CFR 1.63(d))  
(for continuation/divisional with Box 17 completed)
    - i.  DELETION OF INVENTOR(S)  
Signed statement attached deleting inventor(s)  
named in the prior application, see 37 CFR  
1.63(d)(2) and 1.33(b).
- Application Data Sheet. See 37 CFR 1.76

## ACCOMPANYING APPLICATION PARTS

- Assignment Papers (cover sheet & document(s))
- 37 CFR 3.73(b) Statement  Power of Attorney  
(when there is an assignee)
- English Translation Document (if applicable)
- Information Disclosure  Copies of IDS  
Statement (IDS)/PTO-1449 Citations
- Preliminary Amendment
- Return Receipt Postcard (MPEP 503)  
(Should be specifically itemized)
- Certified Copy of Priority Document(s)  
(if foreign priority is claimed)
- Other: Blanket Petition

17. If a CONTINUING APPLICATION, check appropriate box, and supply the requisite information below and in a preliminary amendment, or in an Application Data Sheet under 37 CFR 1.76:

Continuation  Divisional  Continuation-in-part (CIP) of prior application No.: U.S. Serial No. 09/727,392 filed November 29, 2000 which is a CIP of U.S. Serial No. 09/669,996 filed September 25, 2000.

Prior application information: Examiner: \_\_\_\_\_

Group / Art Unit: \_\_\_\_\_

For CONTINUATION OR DIVISIONAL APPS only: The entire disclosure of the prior application, from which an oath or declaration is supplied under Box 5b, is considered a part of the disclosure of the accompanying continuation or divisional application and is hereby incorporated by reference. The incorporation can only be relied upon when a portion has been inadvertently omitted from the submitted application parts.

## 18. CORRESPONDENCE ADDRESS

<input type="checkbox"/> Customer Number of Bar Code Label		23980		<input type="checkbox"/> Correspondence address below  (Insert Customer No. or Attach bar code label here)	
Name	Dianne E. Reed				
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	800 Menlo Avenue, Suite 210				
City	Menlo Park	State	CA	Zip Code	94025
Country	USA	Telephone	(650) 330-0900	Fax	(650) 330-0980
Name (Print/Type)	Dianne E. Reed		Registration No. (Attorney/Agent)	31,292	
Signature	<i>Dianne E. Reed</i>			Date	September 25, 2001

Burden Hour Statement: This form is estimated to take 0.2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commission for Patents, Box Patent Application, Washington, DC 20231.

## CERTIFICATE OF MAILING OR TRANSMISSION

I hereby certify that this paper or fee is being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service under 37 CFR § 1.10 on the date indicated below and is addressed to "Commissioner for Patents, Box Patent Application, Washington, DC 20231."			
"Express Mail" Mailing Label No.	EL910311015US	Date of Deposit	September 25, 2001
Name of Person Mailing Paper or Fee(Print/Type)	<i>Marilyn B. McKenna</i>		
Signature	<i>Marilyn B. McKenna</i>	Date	September 25, 2001

"Express Mail" Mailing Label No.: EL910311015US

Date of Deposit: September 25, 2001

Type/Printed Name of Person Mailing Paper or Fee: Marilyn B. McKenna

Signature of Person Mailing Paper or Fee: Marilyn B. McKenna

FEE TRANSMITTAL				Complete if Known			
				Application Number			
				Filing Date	Filed Herewith		
				First Named Inventor	Richard N. ELLSON et al.		
				Group Art Unit	Not Assigned Yet		
				Examiner Name	Not Assigned Yet		
TOTAL AMOUNT OF PAYMENT		\$404		Attorney Docket Number			
				7610-0001.25			
METHOD OF PAYMENT (check one)				FEE CALCULATION (continued)			
<input checked="" type="checkbox"/> 1. The commissioner is hereby authorized to charge any additional fees and credit any overpayments to: Deposit Account No.: 18-0580 Deposit Account Name: REED & ASSOCIATES				<b>3. ADDITIONAL FEES</b>			
<input type="checkbox"/> 2. Payment Enclosed: <input checked="" type="checkbox"/> Check <input type="checkbox"/> Money Order <input type="checkbox"/> Other							
FEE CALCULATION							
1. FILING FEE							
Large Fee Code	Entity Fee	Small Fee Code	Entity Fee	Fee Description			
101	710	201	355	Utility filing fee			
102	320	206	160	Design filing fee			
104	490	207	245	Plant filing fee			
109	710	208	355	Reissue filing fee			
110	150	214	75	Provisional filing fee			
				SUBTOTAL (1)			
2. CLAIMS							
				Fee from			
				Extra	below	Fee Due	
Total Claims		12	- 20 =	1	X	9	\$9
Independent Claims		2	- 3 =	0	X	40	\$0
Multiple Dependent Claims		0		135			\$0
Large Fee Code	Entity Fee	Small Fee Code	Entity Fee	Fee Description			
103	18	203	9	Claims in excess of 20			
102	80	202	40	Independent Claims in excess of 3			
104	270	204	135	Multiple Dependent Claim			
109	80	209	40	Reissue independent claims over original patent			
110	18	210	9	Reissue claims in excess of and over original patent			
				SUBTOTAL (2)			
SUBMITTED BY							
Typed or Printed Name				Complete (if applicable)			
Dianne E. Reed				Reg. Number			
				31,292			
Signature				Date			
				Deposit Account			
				18-0580			

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PATENT

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Printed Name of Person Mailing Paper or Fee

Marilyn B. McKenna  
Signature of Person Making Paper or Fee

Signature of Person Making Paper or Fee

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In Re Application of:

Richard N. ELLSON et al.

Serial No.: CIP of 09/727,392

Group Art Unit: Unassigned

Filing Date: Filed Herewith

Examiner: Unassigned

# Title: HIGH DENSITY MOLECULAR ARRAYS ON POROUS SURFACES

BLANKET PETITION FOR EXTENSION OF TIME AND  
AUTHORIZATION TO CHARGE OR CREDIT DEPOSIT ACCOUNT

Commissioner for Patents  
Washington, DC 20231

Sir:

If a paper is untimely filed in this application or any continuation or divisional application derived therefrom by applicants or their representative, the Commissioner is hereby petitioned under 37 CFR § 1.136(a) for the minimum extension of time required to make said paper timely. In the event a petition for extension of time is made under the provisions of this paragraph, the Commissioner is hereby requested to charge any fee required under 37 CFR § 1.17(a)-(d) to Deposit Account No. 18-0580. This, however, is not authorization to pay the issue fee. A **duplicate copy of this sheet is attached.**

If a paper is concurrently or subsequently filed in this application or any continuation or divisional application derived therefrom by applicants or their representative and a fee under 37 CFR §§ 1.16-1.17 is required to effect any amendment, petition or other action requested in said paper, the Commissioner is hereby requested to charge any deficiency in said fee, or credit



Atty Dkt No. 7610-0001.25  
CIP of Serial No. 09/727,392

any overpayment of said fee, to Deposit Account No. 18-0580. This, however, is not  
authorization to pay the issue fee.

Respectfully submitted,

9/25/01  
Date

By:

  
Dianne E. Reed

Registration No. 31,292

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